



GB/69/07743

The Patent Office Concept House Cardiff Road Newport

South Wales NP10 850 2 2 JUL 2004

WIPO PC1

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 13 July 2004

R. Maray

BEST AVAILABLE COLL

Patents Act 1977 (Rule 16)

2 7 JUN 2003

JUN 2003 The Patent Office Cardiff Moad t NP9 1RH

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

1. Your reference 59.68.80738

0315121-4

Patent application number (The Patent Office will fill in this part)

0315121.4

28JUN03 E818586-1 D00027 P01/7700 0.00-0315121.4

3. Full name, address and postcode of the or of each applicant (underline all surnames)

MATFORSK Norwegian Food Research Institute Olsoveien 1 1430 As Norway

Patents ADP number (if you know it)

If the applicant is a corporate body, give country/state of incorporation

Norway

Title of the invention

Methods of Nucleic Acid Analysis

Name of your agent (if you have one)

Frank B. Dehn & Co.

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

If you are declaring priority from one or more

179 Queen Victoria Street London EC4V 4EL

Patents ADP number (if you know it)

166001

Country

Priority application number (if you know it)

Date of filing (day / month / year)

earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number If this application is divided or otherwise

derived from an earlier UK application,

give the number and the filing date of

Number of earlier application

Date of filing (day / month / year)

the earlier application Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an

applicant, or c) any named applicant is a corporate body. See note (d))

Yes

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document	
Continuation sheets of this form	0 .
Description	20 /
Claim(s)	0
Abstract	o JML
Drawing(s)	4 +44 0
10. If you are also filing any of the following, state how many against each item. Priority documents	0
Translations of priority documents	0
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	0
Request for preliminary examination and search (Patents Form 9/77)	0
Request for substantive examination (Patents Form 10/77)	0
Any other documents (please specify)	
11.	We request the grant of a patent on the basis of this application. Signature Date 27 June 2003
12. Name and daytime telephone number of person to contact in the United Kingdom	Rebecca Gardner 01273 244200

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505. a)
- Write your answers in capital letters using black ink or you may type them. b)
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s) of the form. Any continuation sheet should be attached to this form.
- If you have answered 'Yes', Patents Form 7/77 will need to be filed. d)
- Once you have filled in the form you must remember to sign and date it. e)
- For details of the fee and ways to pay please contact the Patent Office.

Methods of Nucleic Acid Analysis

This invention relates to methods of characterising target bases in nucleic acid molecules, in particular to methods involving fluorescence detection.

10

15

20

25

30

35

Single or multiple nucleotide differences among nucleic acid molecules can be of great biological importance. The detection of such nucleotide differences has a range of applications. Major examples include the detection of point mutations for diagnostic purposes and the analysis of single nucleotide polymorphisms (SNPs) for building genetic maps of higher organisms or typing bacterial strains.

A variety of methods are currently available for the detection of SNPs (Vignal et al., 2002 Genet. Sel. Evol. Vol. 34, 275-305). These include restriction enzyme digestion, DNA strand conformation analysis, primer extension, oligonucleotide ligation assay, pyrosequencing, 5' exonuclease detection and the invader assay. All these methods are based on the generation of an allele-specific product, followed by the detection and identification of said product. Experimental details and the drawbacks of these methods are discussed by Vignal et al.

Of these, methods based on fluorescence detection such as the 5' exonuclease detection assay (TaqMan®) and the Invader® assay are widely used because fluorescence is easy to measure. The TaqMan assay typically involves polymerase chain reaction (PCR) amplification of the region flanking the target SNP in the presence of two probes, each probe being specific for a different allele. These probes possess a donor fluorophore at the 5'-end and an acceptor fluorophore at the 3'-end which are able to take part in a FRET (fluorescence resonance

energy transfer) reaction. During the PCR, Taq polymerase unwinds and cleaves only the probe which is specific for the allele possessing the target SNP. This cleavage separates the donor fluorophore from the acceptor fluorophore, thus causing an increase in the observed fluorescence from the donor fluorophore.

5

10

15

20

25

30

35

The Invader® assay employs a probe with an allelespecific 3' moiety and a 5' flap which is added to the
target nucleic acid together with an Invader® probe
which anneals to said target immediately 5' of the
target SNP. Flap endonucleases (Cleavase) then cleave
only the perfectly matched probe (mismatched probes can
not serve as a substrate for these Flap endonucleases).
This generates a fragment which in turn acts as an
invader probe to mediate the Flap endonuclease cleavage
of a FRET probe, thus releasing a donor fluorophore from
its acceptor fluorophore and enhancing its fluorescence,
typically the acceptor fluorophore acts as a quencher.

A variation of these methods is provided by fluorescence polarisation (FP), which is based on the fact that excitation of a fluorescent molecule by plane polarised light results in the emission of polarised fluorescent light from said molecule. The degree of fluorescence polarisation by a molecule, however, is proportional to the molecule's rotational relaxation time, which in turn is dependent on a range of factors such as the viscosity of the solvents, absolute temperature, gas constant and its molecular volume. Therefore, provided all other factors are held constant, fluorescence polarisation is directly proportional to the molecular volume of a molecule, which in turn is directly proportional to its molecular weight. decrease in size (e.g. cleavage by Taq polymerase or a FLAP endonuclease) therefore results in a decrease in the FP emitted by a molecule. The advantage of FP detection is that its use can reduce the cost of the

assays described above as a fluorescence quencher/acceptor is not required. However, the requirement to keep reaction conditions constant is a drawback which makes this approach less robust as any fluctuations in the reaction conditions, e.g. temperature, will interfere with the results. The use of FP in SNP genotyping is reviewed by Kwok, P. in Human Mutation (2002) 19, pp 315-323.

5

10

15

20

25

30

35

Recently, Xiao and Kwok (Genome Research (2003) 13(5) pp 932-939) developed an assay for SNP analysis wherein the intrinsic quenching properties of DNA are exploited (template-directed dye-terminator incorporation with fluorescence quenching detection-FQ-TD1).

Although the methods currently available are useful to qualitatively distinguish between different alleles, there is a need for a detection method which can accurately detect and quantify low levels of a target allele. For example when detecting bacterial strains in a sample, it is desirable not just to determine the presence or absence of a certain strain, but also in what proportion of the total number of bacteria the target strain is present. To our knowledge there are currently no methods available which reliably provide this quantitative information in a format which can be combined with high throughput.

A method has now been developed which addresses these problems. This method is based on the use of fluorophores as reporters in methods which characterise target bases (e.g., but not exclusively, SNPs). Instead of causing a release of a donor fluorophore from an acceptor (quencher) fluorophore, in this method a donor fluorophore is coupled to an acceptor fluorophore upon nucleotide incorporation, i.e. the base discriminating event. It represents a novel method to qualify and quantify nucleotide differences which can conveniently

be carried out using standard PCR equipment in a closed tube and in real-time.

Thus, according to one aspect, the present invention provides a method of characterising a target base in a sample nucleic acid, which method comprises:

5

10

15

- (a) contacting the sample nucleic acid with an oligonucleotide primer under conditions which allow hybridisation of the oligonucleotide to the sample nucleic acid, said oligonucleotide primer being labelled with a fluorophore;
- (b) contacting the sample nucleic acid with a deoxynucleotide or dideoxynucleotide which is labelled with a fluorophore, under conditions which allow extension of the oligonucleotide primer through incorporation of the labelled nucleotide; and
- 20 (c) measuring the fluorescence emitted by one or both of the fluorophores.

Typically, a DNA sample, a fluorophore-labelled primer and deoxy- or dideoxy-nucleotides labelled with a different fluorophore are added to a standard PCR 25 reaction mix comprising a suitable buffer and DNA polymerase. A thermo-cycling reaction is then carried out involving strand denaturation at around 95°C for 1 min followed by a step at around 50-70°C (depending on the nature of the target DNA and primer) during which 30 the primers are allowed to anneal specifically to a target region of the DNA and specific incorporation of a deoxy- or dideoxy-nucleotide at the target site may These steps are repeated for several cycles, e.g. between 10 and 50 cycles. During the reaction the 35 reaction mixture is irradiated with light of a suitable

wavelength and the fluorescence emitted by one or other or both of the fluorophores is recorded. Thus, step (c) will preferably comprise irradiating the sample nucleic acid (and oligonucleotide probe complex) and then measuring the fluorescence emitted by one or both of the fluorophores.

5 .

10

15

20

25 .

30

35

By "fluorophore" is meant a molecule (or group of atoms within a molecule) able to absorb and/or emit fluorescence. 'Fluorescence' is electromagnetic radiation emitted as a result of the absorption of energy derived from exciting radiation. purposes of the present invention the term "fluorescence" also includes phosphorescence (a type of luminescence that persists for more than about 10 ns after excitation ceases. The fluorescence emitted may for example be in the form of UV or visible light. emission of radiation by a fluorophore is stimulated by the absorption of incident radiation and usually (i.e. except in the case of phosphorescent moiety) persists only as long as the exciting radiation is continued. Suitable sources of exciting radiation are known in the art.

According to the invention, the oligonucleotide primer is labelled with a donor fluorophore and the deoxy- or dideoxy-nucleotide is labelled with an acceptor fluorophore, or vice versa. Thus, the methods of the present invention rely on fluorescence resonance energy transfer. FRET is a process of energy transfer between two fluorophores which can occur when the emission spectrum of the first fluorophore overlaps the absorption spectrum of the second fluorophore. Quenching of the emission from the first fluorophore occurs as the excitation energy is absorbed by the second fluorophore which typically then emits its own characteristic fluorescence, i.e. fluorescence of a different wavelength. The efficiency of this energy

transfer is strongly dependent on the separation of the two fluorophores. In the methods of the present invention, it has now been found that there is significant energy transfer between the two fluorophores only when the labelled nucleotide is incorporated into the labelled primer. Incorporation of the labelled nucleotide is dependent on the normal rules of base-pairing and hence can be used to characterise a target base when it is known which labelled nucleotide (e.g. ddCTP or ddTTP etc.) is added to the reaction mixture.

5

10

15

20

25

30

35

By "donor fluorophore" is meant a reactive molecule which is capable of transferring energy to another suitably reactive molecule (acceptor fluorophore), when brought into close proximity, e.g. around 60 Å or less, with said acceptor molecule. If the donor fluorophore is in isolation or located further than around 60 Å from a suitable acceptor molecule, fluorescence is freely emitted by the donor fluorophore upon irradiation.

By "acceptor fluorophore" is meant a reactive molecule which can accept radiation from a fluorophore providing the distance between the two molecules is small enough, i.e. around 60 Å or less. Thus acceptor fluorophores can absorb radiation of a suitable wavelength from other sources. The energy of the radiation absorbed by the acceptor fluorophore can either be released as fluorescence or dissipated as heat.

It should be noted that the designation as "donor" and "acceptor" is not normally an intrinsic property of any particular fluorophore, but is determined by the interaction between any given pair of reactive molecules. A molecule may thus act as a donor when brought into contact with one type of fluorophore and as an acceptor when brought into contact with a different type of fluorophore. The ability of a moiety to act as

donor will of course be dependent on the wavelength of the exciting radiation which is applied to the system.

5

10

15

20

25

30

35

Which fluorophore is capable of transferring energy to the other fluorophore is determined by the wavelength at which each fluorophore is excited (excitation maximum): Fluorophores with lower excitation maxima are capable of transferring radiation to those with higher ones. For example, the fluorophore TAMRA (carboxytetramethylrhodamine) has an excitation maximum of around 542 nm and 6-FAM an excitation maximum of around 495 nm and consequently TAMRA acts as an acceptor and 6-FAM as a donor in this combination.

There are, however, certain reactive molecules called "dark quenchers" (Applied Biosystems, Foster City, California, USA) which normally dissipate any energy absorbed as heat. These represent a subclass of fluorophores which can only act as acceptors. It will be clear to the person skilled in the art which combinations of fluorophores can be used as donor and acceptor pairs. Further examples of suitable fluorophores and donor/acceptor pairs include any of 6-FAM, VIC or TET as donor fluorophores with TAMRA or MGBNFQ (Minor groove binder/non fluorescent quencher) as acceptor fluorophores, and fluorescein as a donor with Light Cycler Red 640 nm or 710 nm as an acceptor.

Methods of labelling nucleotides and primers are well known in the art.

The fluorescence emitted by an acceptor fluorophore is always of a higher wavelength than the fluorescence emitted from the donor. This allows a clear distinction between the fluorescence emitted by the donor and the acceptor fluorophores and it is therefore possible to determine from any change in the fluorescence spectrum during the reaction whether and how much radiation is transferred from a donor to an acceptor. Any such radiation transfer is indicative of specific nucleotide

incorporation and the amount of radiation transferred is therefore a measure of the quantity of the target allele detected.

Specific incorporation of a nucleotide at the target site can be determined by either measuring a decrease in the fluorescence emitted from the donor or by measuring an increase in the fluorescence emitted from the acceptor (or both). Methods of recording fluorescent spectra are well known in the art. An example is the Applied Biosystems 7700 which has an integrated PCR machine.

5

10

15

20

25

30

35

Methods of performing the necessary calculations, including normalisation, are well known in the art. The acceptor can be used for normalisation. A particularly suitable reference is a passive reference such as the ROXTM reference (Applied Biosystems). Normalisation could be done by dividing the signal measured by the signal from the reference.

The term dideoxynucleotides as used herein includes all 2'deoxynucleotides in which the 3' hydroxyl group is absent or modified and thus, while able to be added to the primer in the presence of polymerase, is unable to enter into a subsequent polymerisation reaction. In a preferred embodiment, dideoxynucleotides or other similarly modified nucleotides are used, which cause the extension of the primer to terminate after the specific incorporation of a single nucleotide.

In another embodiment, deoxynucleotides are used. This can potentially result in the incorporation of more than one labelled or unlabelled nucleotide.

In one embodiment which utilises deoxynucleotides, energy transfer occurs from a donor on a primer to an acceptor on a nucleotide incorporated one or more bases from the end of the primer. Thus, for example, an unlabelled dATP is first incorporated then a fluorophor labelled ddTTP is incorporated resulting in FRET. Such

a method could be used to characterise a doublet or the primer could anneal at a position not immediately adjacent to the target base. The method could be used, mutatis mutandis with the acceptor on the primer.

5

10

15

20

25

30

35

The nucleic acid molecules which can be analysed using a method according to the invention include DNA molecules which may be single or double stranded and can be of natural origin or modified or synthesized artificially. The target nucleic acid molecule may also be cDNA synthesised from RNA and the method of the invention is thus applicable to RNA analysis. The nucleic acid molecule may contain modifications and can be bound or free in solution.

The nucleic acid may be in a crude sample which includes other cellular components from the biological source from which it was obtained, e.g. a boilate of cells, but it is preferably at least partially purified. More preferably, the nucleic acid molecule is free from any or most contaminating molecules such as lipids and proteins. Methods of isolating nucleic acid from a biological sample are well known in the art. Any biological sample containing nucleic acid is a suitable source of nucleic acid and thus the sample may be derived from animals, plants, insects, bacteria, yeast, viruses or other organisms. The sample nucleic acid may be derived from one or more biological samples and may be pure or exist as part of a mixed sample.

In a preferred embodiment, a region containing the target base is amplified, e.g. by polymerase chain reaction (PCR), prior to analysis according to the invention.

In one aspect, for example, to determine whether a point mutation is present in a gene, only one species of target nucleic acid is analysed.

In another aspect, several different target nucleic acid molecules are analysed, e.g. when trying to detect

the presence/absence of a certain allele in a mixed sample, e.g. when trying to determine whether a particular bacterial strain is present in a food sample.

In a further aspect, a plurality of different target sites are analysed in a single reaction, e.g. one gene is analysed for the presence of two different point mutations. The target sites may be located on a single nucleic acid molecule or be located on different nucleic acid molecules.

5

10

15

20

25

30

35

The term allele refers to a nucleic acid sequence which differs from another sequence for the same gene in that it contains a different base in at least one position.

Primers used according to the invention are oligonucleotides which contain sufficient sequence complementarity to a region of the target nucleic acid to be capable of sequence-specific hybridisation to said nucleic acid. The skilled person will consider what degree of sequence complementarity is required to prevent hybridisation of the primer to a non-target region and design the primer accordingly. The reaction conditions are also chosen accordingly. hybridisation is meant the specific pairing of complementary bases due to hydrogen bond formation). Guidance for such determinations, which are routine to the skilled man, is given for example in 'Molecular Cloning', a laboratory manual by Sambrook et al 1989. The primers are preferably designed such that the 3' end of the primer hybridises immediately upstream of the target base.

In a further embodiment, the 3' end of the primer anneals further upstream of the target base and fluorescence is transferred from the donor to the acceptor fluorophore across a plurality of unlabelled nucleotides located between the 3' end of the primer and the target base.

The method according to the invention can be used for the characterisation of bases such as e.g. A, C, T, G, U, I or any other base which may naturally or artificially occur in a nucleic acid molecule.

5

10

15

20

25

30 -

35

The term "characterising" a target base as used herein refers either to the positive identification of a base, or to a negative identification, i.e. the confirmation that the sample nucleic acid does not contain a particular base used in the analysis at the target site. Typically the method will be performed in such a way that the exact identity of a target base is revealed, although it will be appreciated that in certain circumstances useful characterising information is obtained simply by confirming that in the sample a particular base is not found at the target position.

This characterisation is reliant upon specific incorporation of a fluorophore-labelled nucleotide (e.g. immediately) downstream of the primer through specific base-pairing with the base present in the target nucleic acid strand. The rules of base-pairing specificity are well known in the art and summarised in any standard Biology textbook.

In a preferred embodiment, the invention provides a method of characterisation of a target base in a target nucleic acid, e.g. the analysis of a point mutation in a target gene, wherein only one species of primer is used in step a) and only one species of nucleotide is used in step b).

In a further embodiment, the invention provides a method of characterisation of a target base in a target nucleic acid wherein only one species of primer is used in step a) and two or more species of nucleotide are used in step b). According to the invention, the primer may be labelled with a donor fluorophore and the nucleotides may be labelled with an acceptor fluorophore or vice versa. The different nucleotides may be

labelled with the same or different fluorophores. If the purpose of the analysis is to confirm whether the target base belongs to a certain group (e.g. is a C or T), then it can be advantageous for all nucleotides to be labelled with the same fluorophore. Alternatively, each species of nucleotide could be labelled with a different fluorophore to allow a positive identification of the target base.

5

10

15

20

25

30

35

Thus the primer fluorophore may act as a donor to the fluorophores of all the nucleotides, regardless of whether they are different or identical. Alternatively, the primer fluorophore may act as an acceptor to the fluorophores of all nucleotides, regardless of whether they are different or identical.

It may be desirable to characterise more than one target base, e.g. two adjacent bases. For example, one might want to confirm the sequence GT at a target position. In this case, the reaction is carried out in the presence of dGTP and dTTP (or ddTTP) each labelled with a different fluorophore with such properties that the dGTP fluorophore can accept energy from the primer fluorophore and transfer it to the dTTP fluorophore, or the dTTP fluorophore can transfer energy to the dGTP fluorophore which itself transfers energy to the primer fluorophore.

Thus in one embodiment, the primer fluorophore acts as a donor to the fluorophore of one species of nucleotide which acts as an acceptor to the primer fluorophore but in turn acts as a donor to another species of nucleotide. In another embodiment, the fluorophore of one species of nucleotide acts as a donor to the fluorophore of the other species of nucleotide which acts as an acceptor to the nucleotide fluorophore but in turn acts as a donor to the primer fluorophore.

In a further embodiment, the emission from the donor is recorded (this declines as "quenching" occurs)

and emission from the acceptor(s) in the higher range of the spectrum is also recorded (increases as a result of FRET). Thus it is possible to utilise a large range of the spectrum following excitation at a single wavelength.

More than one target site can be analysed in a single reaction. For example, two or more SNP sites (including single base insertions or deletions) or putative point mutations can be analysed simultaneously. The target sites may be located on a single nucleic acid or be located on different nucleic acid. For each target site, a specific primer is designed. Each primer is preferably labelled with a different fluorophore and by measuring the fluorescence emitted from the different fluorophores, base-incorporation can be analysed for each target site. Thus in another embodiment, the invention provides a method of characterisation of a base at more than one target site in one or more target nucleic acids wherein two or more primers are used in step a) of the method defined above. The same principles regarding fluorophore properties as discussed above apply. The fluorophore-labelled nucleotides may be all of the same type or there may be more than one species.

10

15

20

25

30

35

Incorporation of a fluorophore-labelled deoxy- or dideoxynucleotide results in a measurable change in the fluorescence of the system as a whole. In order to be able to measure changes in fluorescence, electromagnetic radiation at a known wavelength is applied to the system, the wavelength being within the absorption spectrum of one of the fluorophores, the donor fluorophore. When no energy transfer takes place, the donor fluorophore will fluoresce, emitting light at a certain wavelength. The level of this emission can be considered 100% to provide a reference point for quantitative analysis. In one embodiment, referred to

in the art as 'quenching', this signal from the donor fluorophore is measured throughout the reaction and a decrease in the signal is interpreted as incorporation of an fluorophore-labelled nucleotide resulting in energy transfer from donor to acceptor/quencher. Unless a 'dark quencher' is used, fluorescence by the acceptor/quencher takes place and can also be measured, although it is usually sufficient simply to measure the decrease in fluorescence by the donor.

In an alternative embodiment, the monitoring apparatus is set up to record an increase in fluorescence by the acceptor. Such a technique, where the signal that is recorded is only generated as a result of energy transfer, may be termed FRET (as opposed to quenching) although the basic principle and molecular interactions are the same in each case. A basal level will be recorded corresponding to zero nucleotide incorporation and the degree of nucleotide incorporation will be reflected in the increase in the signal measured from the acceptor fluorophore.

The methods according to the invention are simple and robust and thus suited to large-scale qualitative and/or quantitative screenings. Thus, in another embodiment, the process is automated using a robot apparatus where a large number of samples may be rapidly analysed.

The invention also provides a kit for use in a method of characterising a target base in a sample nucleic acid, which kit comprises:

- a) an oligonucleotide primer labelled with a fluorophore;
 - b) a deoxynucleotide or dideoxynucleotide labelled with a fluorophore; and optionally
 - c) a polymerase

5

10

15

20

25

The invention will now be described in the following non-limiting Examples and with reference to the Figures in which:

Figure 1 is a schematic representation of one embodiment of the invention. The DNA is represented by the horizontal bars, the donor (reporter) fluorophore by a circle with R inside, and the nucleotide (in this example dideoxycytosine) labelled with the acceptor .10 (quencher) fluorophore is represented by ddC with a circle with Q inside. (a) The situation before the incorporation of the acceptor fluorophore. The donor fluorophore emits fluorescence upon excitation. (b) The situation after the sequence-specific incorporation of 15 the acceptor fluorophore. The fluorescence emitted from the donor fluorophore is absorbed by the acceptor fluorophore. According to this method, the emitted fluorescence is quenched and the signal measured is the reduced fluorescence from the donor/reporter; such a 20 method is referred to herein as QEXT.

Figure 2 is a graphical representation of the reduction in signal per cycle observed during SNP analysis of pure isolates. The QEXT method was tested on 5 known SNP 25 sites of the inlA gene of twenty different strains of Listeria monocytogenes. For each SNP site, an oligonucleotide primer complementary to the region immediately 5' of the SNP site was designed and labelled with the fluorescent donor fluorophore 6-FAM (inlA1-30 inlA5). Reactions were carried out on individual inlA PCR products from each of the 20 Listeria monocytogenes strains, using one labelled primer and dideoxycytosine (ddCTP) coupled to an acceptor fluorophore. represent the initial signal reduction (indicating 35 specific incorporation of the acceptor fluorophore).

Figure 3 is a graph showing signal reduction per cycle in a method of SNP quantification. The reduction in fluorescence measured from the donor fluorophore per cycle was used as a measure of the rate of incorporation of the acceptor fluorophore. The example shows the signal reduction for probe inlA4 for samples containing 100 % target SNP (C), 25 % target SNP (B), and 0 % (A) (The remainder is made up of non-target SNP).

5

25

Figure 4 is a graphical representation of signal 10 reduction per cycle showing quantification of SNPs in mixed samples. 5 different probes containing an SNP site (inlA1 to inlA5) were analysed using PCR products of several Listeria monocytogenes strains. The allele containing the target nucleotide (CTP) at the SNP site 15 was mixed in various proportions (0-100%) with an allele from a different strain containing a non-target nucleotide at the SNP site: inlA1 - MF 3140 in MF 701, inlA2 - MF 701 in MF 3140, inlA3 - MF 3140 in MF 701, inlA4 - MF 3140 in MF 3035, inlA5 - MF 701 in MF 3140. 20 The initial signal reduction per PCR cycle is plotted against the percentage of the target SNP present in the sample (squares). Target SNPs were also analysed in a background of a

mixture of alleles from all 20 Listeria strains used in this study (open circles). Error bars represent standard deviations from three replicates.

Examples

Listeria monocytogenes is a severe problem in modern food production. The fatality rate of listeriosis can be as high as 30 %. However, a challenge is that this bacterium is abundant in the environment, but only a few of the subtypes are human pathogens. Tools to analyse SNPs in mixed and/or pooled samples will be of importance to understand and control L. monocytogenes.

L. monocytogenes was therefore used as a model system. The inlA gene is important for the invasion of L. monocytogenes into host cells. Five different SNP sites identified in the inlA gene were analysed. In all reactions the fluorophore 6-FAM served as a donor and the fluorophore TAMRA served as an acceptor.

Example 1:

5

1.0

15

25

30

35

- 20 Analysis of 5 different SNP sites in 20 different pure isolates
 - a) Preparation of the target nucleic acids

 The strains analysed in this work are listed in

 Table 1.

The DNA was purified using standard procedures. The primers inlA-F (GGAGCTAACCAAATAAGTAACATCAGT-3') and inlA-R (5'-TATCCGTACTGAAATTCCATTTAGTT-3') were used to amplify the inlA gene from each strain. The reactions included 10 pmol primers, 200 μ M of each deoxynucleotide triphosphate, 10 mM Tris-HCl (pH 8.8), 1.5 mM MgCl₂, 50 mM KCl, 0.1 % Triton X-100 (wt/vol), 1 U of DynaZyme DNA polymerase (Finnzymes Oy, Espoo, Finland) and purified DNA or DNA/beads in a final volume of 50 μ l. Between 30 and 35 cycles were used in the amplification reactions, with denaturation at 95°C for 30 s, annealing for 30 s

at 55°C and extension at 72°C for 30 s. All reactions were initiated with 4 min denaturation at 94°C and ended with a 7 min extension at 72°C. The samples were treated with 4 units Shrimp alkaline phosphatase and 20 units exonuclease I at 37°C for 30 min. Finally, the enzymes were inactivated at 95°C for 10 min.

b) Characterisation of target bases

5

35

The 5' 6-FAM labelled primers inlA1 (5'-10 CGCAGCCACTTAAGGCAATTTTTAATG-3'), inlA2 (5'-TCGGCTGGGCATAACCAAATTAGCGA-3'), inlA3 (5'GGCTTATATCACTTATATTATTAAAGTACAA-3'), inlA4 (5'-GGAAAAGGAACGACAACATTTAGTGGAAC-3') and inlA5 (5'-AAATGCACCAGTAAACTACAAAGCAAA-3') were all used in 15 separate reactions. Each reaction contained 5 μ l of one of the PCR products (treated as described above), 1 \times Thermosequenase reaction buffer, 1 pmol of one of the primers labelled with the fluorophore 6-FAM, 10 pmol of the dideoxynucleotide ddCTP labelled with the 20 fluorophore Tamra (PerkinElmer) and 8 U of Thermosequenase (Amersham biosciences) in a total of 25 The PCR analysis was done with denaturation at 95°C for 30 s and incorporation at 55°C for 1 min for 40 cycles. The fluorescent spectra from 500 to 660 nm were 25 collected with an AppliedBiosystems 7700 (AppliedBiosystems, Foster City, California, USA) during the thermocycling. The signal reduction was recorded for each cycle during the denaturation phase by subtracting the donor signal (6-FAM) signal at 541 nm 30 from the acceptor signal (Tamra) at 576 nm.

All the SNPs were detected with high signal to noise ratios (more than 20 fold): The reporter signal was reduced by 15 to 35 units per cycle for the target SNPs, while the non-targets gave signal reduction between -0,5 and 1.5 units (Fig. 2). The slight signal

reduction for most of the negative samples was probably due to miss-incorporation by the DNA polymerase. There was also a general reduction in the total fluorescence during the thermocyling. This could be due to instability of the fluorophores.

Example 2

5

15

20

25

30

35

Ouantification of a target allele in a background of non-target alleles

The reaction was essentially the same as in Example 1, but instead of using a pure PCR product from a single strain, two different PCR products, each containing a different base at the target site (i.e. representing 2 different alleles), were mixed at various ratios ranging from 0:100 to 100:0. (Figures 3 and 4).

The initial change in the difference between the donor and the acceptor signal was used to determine the quantity of the given SNP detected (Figure 3).

The detection limit was between 0 and 5 % for all the alleles tested (the signal reduction rates for these samples were significantly different (p < 0.05) as determined by a two sample t-test). Although there was a difference between the 0 and 1 % samples for all five SNP's tested, these could not be separated at the p < 0.05 level. In theory, the incorporation rate should be proportional to the amount of target. Linearity was obtained for all the SNP's in the range 0 to 50 % - square regression coefficients (R²) of 0.99 for inlA, 0.96 for inlA2, 0.98 for inlA3, 0.99 for inlA4 and 1.0 for inlA5. The incorporation rate was lower than expected for the 75 and 100 % samples for inlA 2 and 3 (see Fig. 4). This could be due to a difficulty in

determining incorporation rates when there was a rapid initial decline in the reporter signal.

5

10

40

The frequency of the SNPs were also determined in pooled samples of the 20 strains used in this work (Figure 4). The expected frequency for inlA1 was 50 %, while the determined frequency was 41 %. The corresponding values were 55 and 59 % for inlA2, 5 and 5 % for inlA3, 60 and 63 % for inlA4 and 45 and 46 % for inlA5, respectively. This shows that the QEXT assay is suitable for quantifying SNPs in pooled samples, and that the determinations can be done with an accuracy of more than 80%.

Table 1. L. monocytogenes strains applied in this study.

.5	Strain	Source ^{1 & 2}	Origin	Serotype
-	9618	SS	unknown	4
	7751	SS	unknown	4
	7785	SS	unknown	1
	5001	SS	human sporadic	1/2a
20	65500	SS	unknown	1
	5223	SS	unknown	4
	12067	SS	human epidemic	4b
	8819	SS	human epidemic	4b
	762	MF	fish product	1
25	3591	MF	fish	1
	1348	MF	fish processing	1
	3477	MF	fish	1
	3140	MF	fish product	1
	701	MF	environment	4
30	502	MF	meat	1
	3066	MF	fish	1
	3035	MF	fish processing	1
	921	MF	fish processing	1
	2778	MF	fish processing	1
35	1037	MF	environment	1

1)SS: Statens Seruminstitut, Copenhagen, Denmark; MF:
MATFORSK Norwegian Food Research Institute, Ås, Norway

2) The SS strains are described by Rasmussen et al. (1995, Microbiology 141, 2053-2061), while the MF strains are described by Rudi et al. (2003, FEMS Microbiol Letters 220, 9-14)

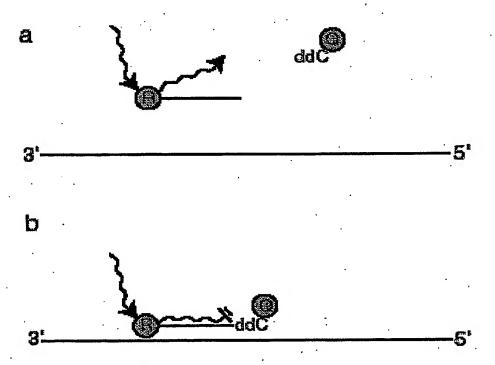


Figure 1

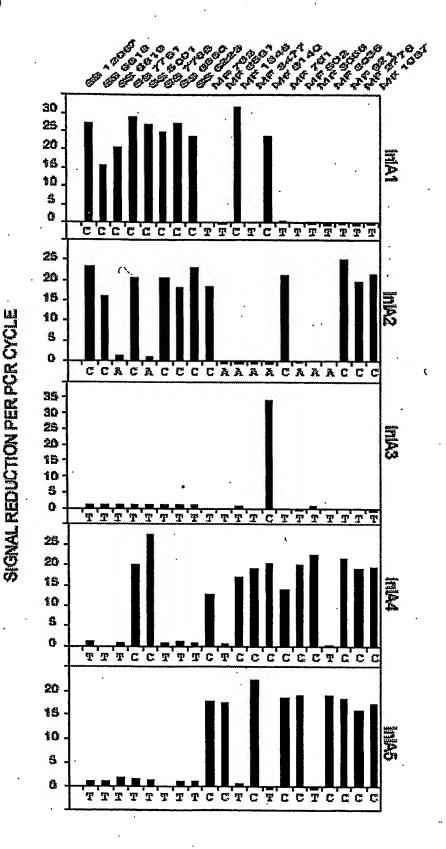


Figure 2

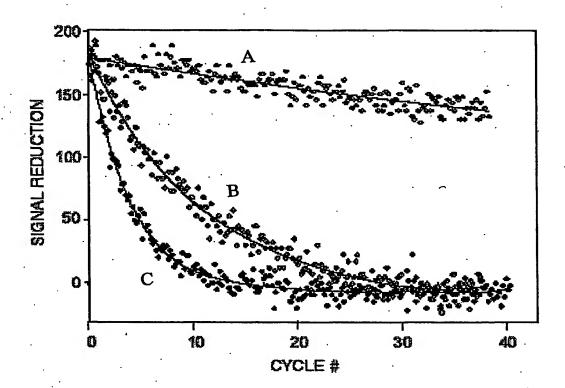


Figure 3

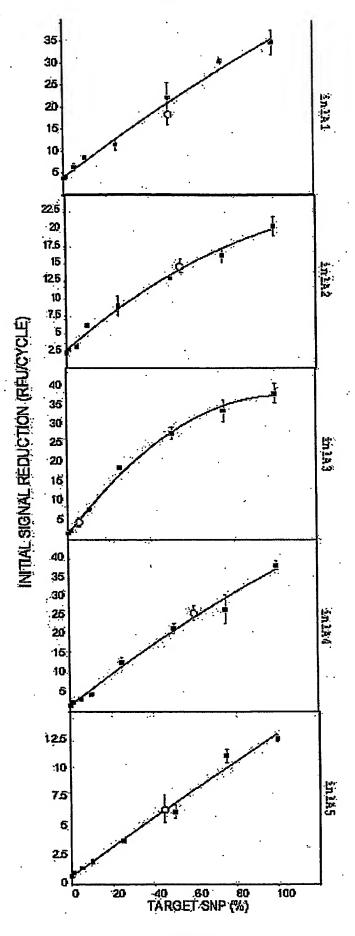


Figure 4

PCT/**GB**20**04**/00**2743**

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.